

Holiday Gift Enrollment Order Form



Yes, I want to Gift a share of stock--including enrollment in the company DRIP. Please process my order for the company DRIPs I've indicated below and send me a certificate that will announce the gift to the recipient. I understand that stock prices fluctuate daily and my stock purchase will be executed at the market price on the day my order is executed. I further understand that a 10% cushion is required and any overpayment on this order of \$25 or more will be refunded. (However, you may request a refund on any overpayment of \$10 or more, with the understanding that you will cash that check within 30 days.)

Check Those you Wish to Order	Symbol	Company Name	Recent Stock Price	Regular Enrollment Fee	Holiday Gift a Share Enrollment Discount Fee	Total Cost for this company
<input type="checkbox"/>	_____	_____	_____	\$30/\$60	\$25/\$50	_____
<input type="checkbox"/>	_____	_____	_____	\$30/\$60	\$25/\$50	_____
<input type="checkbox"/>	_____	_____	_____	\$30/\$60	\$25/\$50	_____
<input type="checkbox"/>	_____	_____	_____	\$30/\$60	\$25/\$50	_____
<input type="checkbox"/>	_____	_____	_____	\$30/\$60	\$25/\$50	_____

*Go to our website directinvesting.com for recent price.

Total Cost for Stocks: _____

Total Cost for Stock and Service Fees: _____

10% Cushion (based on "Total Cost for Stocks" only): _____

Gift Recipient (Shareholder)

Purchaser Information (Gift Giver)

Total Holiday Gift Cost: _____

Recipient's SS# (Minor) (Required) _____

Date of Birth _____

Subscriber/Member ID# if applicable _____

Telephone _____

Adult's SS# (Required) _____

Date of Birth _____

Purchaser SS# _____

Date of Birth _____

Name _____
Print Name Exactly As Stock Should Be Registered

Name _____

Mailing Address*** _____
City/State/Zip Code

Mailing Address _____
City/State/Zip Code

*** P.O. Box is acceptable for registration and to receive correspondence. However, if your mailing address is a P.O. Box (other than APOs and FPOs) you must enter your street address-For U.S. Patriot Act compliance.

Email _____
(Confidential but required)

I (we) have read and agree to the Terms of the Temper Enrollment Service (printed on back). I (we) hereby authorize Temper Enrollment Service to help me (us) become enrolled in the direct investment plan(s) (DRIPs) of the companies indicated above. I (we) authorize each company and its transfer agent, if applicable, to enroll me (us) in the full direct investment plan(s) of each company. I (we) authorize Temper Enrollment Service to stipulate that all cash dividends be reinvested in the plan(s) listed above-including dividends on any shares I (we) might purchase outside the plan(s).

My (our) purpose for becoming enrolled is so I (we) can make subsequent investments directly through the company-sponsored plans. No

other use of my (our) name(s) is authorized. I (we) certify, under penalty of perjury, that the Social Security number or taxpayer identification number provided on this form is valid and that I (we) am (are) 18 years of age or older.

I (we) certify under penalty of perjury, that I am authorized to transact business on behalf of the entity becoming enrolled in the DRIP plans listed above. I (we) understand the provisions of each relevant plan having reviewed the prospectus information in the *Guide to Direct Investment Plans* and in the company prospectus. I (we) understand that portfolio growth depends on my (our) ability to make future optional cash investments once the account is open and that

SEC/FINRA Required Information

Do you work for, or are you employed by, a member firm of FINRA? Yes No

Are you a director, a 10% shareholder, or a policy-making executive officer of a publicly traded company? Yes No (If "yes," specify companies below):

Companies: _____

Your Occupation: _____ Name of Employer: _____

growth based on reinvesting dividends from the share(s) to open the

X _____
(Must Sign Here) Date

Holiday2020

Please mail this completed form and your check payable to: Temper Enrollment Services P.O. BOX 461 Rye, NY 10580 • Questions? Call 800-388-9993

DISCLOSURE: Customers must do their own research in order to determine suitability of such an investment as it relates to their personal and financial circumstances. Temper of the Times hereby does not make any recommendations and conveys no judgment as to merits of investing in any security.

1. All checks for enrollment should be made payable to Temper of the Times Investor Services Inc.

2. Registration Information

a. Enter your full name, date of birth, street address, and Social Security Number (proof if your first name is in fact an initial). (Non-U.S. citizens without taxpayer ID, please provide passport number AND country of issuance OR alien identification card number).

b. If other than single registration, indicate the proper form of registration. For example, JT TEN for joint tenancy accounts, where the surviving tenant receives the property automatically.

c. In custodial accounts under the Uniform Gift to Minors Act, name the adult first as custodian and indicate the state: "John Doe, cust. for Jane Doe under NY UGMA." Provide Social Security Number and date of birth for both the custodian and child.

d. For living trust agreements, be sure to indicate the date: "In trust for Robert Doe under Trust Agreement dated ____." Also a copy of the first and last page of the plan/trust should be sent back to Temper.

e. For registrations involving corporations, partnerships, or investment clubs, be sure to include the full name of the entity. Please send proof of the entity's existence, such as a **certified** copy of the certificate of incorporation, partnership agreement, or investment club agreement.

f. We cannot accept TOD (Transfer of Death) registrations. We cannot accept orders from residents of Puerto Rico or U.S. Virgin Islands.

3. We acknowledge your order immediately by email or by mail if you do not have email. Please report promptly back to us any discrepancy or inaccuracy as may be pertinent to your DRIP account order with us. Any oral communication to/with us must be re-confirmed in writing to protect your rights, including rights under the Securities Investor Protection (SIPA)

4. After your account is open, dividends will be paid to you directly by each company's transfer agent.

5. The Enrollment Service is not responsible for the quality of your choice of investments, nor is it responsible for the operations of the company or its direct investment plan. The Temper Enrollment Service acts as Principal to execute your orders.

Although the situation does not arise often, a company may file for bankruptcy and/or discontinue its plan after the shares you ordered were purchased. If your order can not be executed for any reason, you will be sent a full refund. You will be notified after Temper receives a statement from the TA confirming that they acted on our instructions to open accounts for our customers. **You are required**

to contact Temper if you do not get a company statement within two weeks of that notification from Temper.

6. The Securities and Exchange Commission requires that all broker-dealers advise customers of the broker's practice with respect to payment for order flow. Temper does not receive any payment for order flow.

7. Temper closes its Order Entry system on the last business day of the month. In order to provide the service, we purchase stock after the 3rd of the following month (to allow time for all checks to clear). The DRIP enrollment process should be completed within two to four weeks of the purchase date (or the company investment date if purchased through the transfer agent).

8. W-9 Forms and Enrollment Cards are required and available to you if you are using the Temper Enrollment Service to become enrolled in Southern Co., AFLAC, Otter Tail, Exxon-Mobil, and ZION Bancorp, you should sign and return to Temper the W-9 form and/or an enrollment card.

To obtain W-9 forms or enrollment cards, call 800-388-9993, or contact the Temper Enrollment Service by mail at P.O. Box 461, Rye, NY 10580.

9. Any controversy that may develop relevant to this enrollment shall be submitted to arbitration. Such arbitration shall be conducted in New York, N.Y., by FINRA in accordance with its rules in effect.

10. The firm requires a 10% cushion over the price of the stocks as calculated on the enrollment order form. That cushion is a safeguard against an upward price swing on the cost of the share on the purchase date.

11. Refunds of overpayments are issued with the final statement. Please note that we do not process overpayments of less than \$25 as a matter of corporate policy. **We will, however, act on your individual request (by phone or by email) to process an overpayment so long as it is for more than \$10, with the understanding that you will promptly cash that check.** If you are issued any refund check by us, please deposit it into your bank account promptly. If you don't deposit your check for any reason, after 3 years it will be ESCHEATED to the State of New York, the state of our incorporation, as abandoned property, and a \$25 processing fee will be deducted by us from the amount of your check. If your refund check is less than \$25, the entire check amount will be retained by the firm as a processing fee during the escheatment process to the State of New York.

12. \$10 surcharge on DRIPs for accounts serviced by Equiniti Shareowner Services. All orders for enrollment in direct investment accounts in companies serviced by Equiniti Shareowner Services as their transfer agent will require an additional service fee surcharge of \$10.00 per DRIP in addition to our customary charges.

This surcharge will be automatically added to online orders for companies using Equiniti Shareowner Services. Orders submit-

ted by mail must include this additional surcharge per DRIP.

Temper Financial Statements- you can see our audited financial statements as filed with the Securities & Exchange Commission, on our website www.temperofthetimes.com.

Privacy Notice

You entrust us with personal and financial information, and we take that trust very seriously.

We receive personal, nonpublic information about you on DRIP enrollment forms, on online order forms, and in other communications in writing, electronically and over the phone. That information may include your name, address, social security number, bank account information or employment information.

We do not share any nonpublic personal information about you with any third parties, except as necessary to process a transaction, promote affiliate services, or as required by law. Our outside service providers are legally bound not to disclose or reuse it in any way. We restrict access to your personal information to those of our employees who need the information in order to provide services to you. In addition, you can feel comfortable knowing that we maintain physical, electronic and procedural safeguards to protect your personal information.

For specific safeguards see:
www.temperofthetimes.com/privacystatement2.cfm

Important Information About Procedures for Opening a New Account. To help the government fight the funding of terrorism and money laundering activities, Federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, Social Security number, date of birth, and other information that will allow us to identify you. For new customers, we will require a copy of your driver's license or other identifying documents.

What happens if you don't provide the information required?:
We may not be able to open an account or carry out your transactions.

Business Continuity Plan: Orders accepted by Temper of the Times Investor Services Inc. are backed up off site nightly. If something were to happen to Temper's principal location, we would be able to access all our customer information at our backup location. You can access a copy of our Business Continuity Plan on our website www.temperofthetimes.com. In case of an emergency and the office telephone number is inoperative call the following number: 917-432-8618.

Disclaimer: As is true with any investment, Direct Investment Plans don't offer guarantees and have risks, even the risk of losing the entire investment. The value of an investment in DRIPs will fluctuate, depending on the fluctuating stock price.

Temper of the Times Investor Services Inc. 111 Pleasant Ridge Rd. Harrison, NY 10528 1-800-388-9993 Fax: 914-921-9318.