

# — TEMPER ENROLLMENT SERVICE —

## CUSTOMER ACCOUNT / GIFT ENROLLMENT ORDER FORM

I (we) have read and agree to the Terms of the Temper Enrollment Service (printed on back). I (we) hereby authorize Temper of the Times Investor Services, Inc. (Temper) to help me (us) become enrolled in the direct investment plan(s) (DRIPs) of the companies indicated below. I (we) authorize each company and its transfer agent, if applicable, to enroll me (us) in the full direct investment plan(s) of each company. I (we) authorize Temper to stipulate that all cash dividends be reinvested in the plans(s) listed below—including dividends on any shares I (we) might purchase outside the plan(s).

My (our) purpose for becoming enrolled is so I (we) can make subsequent investments directly through the company-sponsored plans. No other use of my (our) name(s) is authorized. I (we) certify, under penalty of perjury, that the Social Security number or taxpayer identification number provided on this form is valid and that I (we) am (are) 18 years of age or older.

I (we) certify under penalty of perjury, that I am authorized to transact business on behalf of or the entity becoming enrolled in the DRIP plans listed below. I (we) understand the provisions of each relevant plan having reviewed the prospectus information in the *Guide to Direct Investment Plans*

and in the company prospectus. I (we) understand that portfolio growth depends on my (our) ability to make future optional cash investments once the account is open and that growth based on reinvesting dividends from the share(s) to open the account will be minimal.

(Must Sign Here) **X**

Signature

Date

### SEC/FINRA Required Information

Do you work for, or are you employed by, a member firm of FINRA?

Yes  No

Are you a director, a 10% shareholder, or a policy-making executive officer of a publicly traded company?  Yes  No (If "yes," specify companies below):

Companies: \_\_\_\_\_

Your Occupation: \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Company Name	Symbol	Shares Ordered*	Recent High	Enrollment Charge**	Total Cost
1. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
2. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
3. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
4. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
5. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
6. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
7. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
8. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
9. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
10. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
11. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
12. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
13. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
14. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
15. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____
16. _____	_____	_____ X _____	_____	+ \$25	\$50 \$ _____

Total sent for stocks and enrollment charge \$ \_\_\_\_\_

**Temper closes its order entry system for new orders at 3PM EST. on the last business day of the month. Shares are purchased once a month on or about the 10th of the following month.**

Refundable cushion (10% of the amount sent for stocks) \$ \_\_\_\_\_

For material underpayment amount, the number of shares ordered will be reduced until the amount is in sync with stock price.

Total amount sent to order stocks \$ \_\_\_\_\_

**No credit card orders. Make check payable to Temper of the Times.**

**PLEASE READ: Our system is based on serving self-reliant investors.**

**Remember, it doesn't pay to own a single share of stock in a company unless you can afford to invest to acquire additional shares.**

**It is your responsibility to see that your order is correct in every respect.** So you can review your order, you can expect an acknowledgement within a week. If you don't receive one, please call or email (800) 388-9993 or [customerservice@temperenrollment.com](mailto:customerservice@temperenrollment.com) to.

**Consequences of mistakes in your order are as follows:** You will be responsible for any fees charged by the transfer agent to correct your registration as well as a \$25 fee to cover our cost to facilitate any corrections.

**It is your responsibility to inform us of any address change.** A \$25 fee will be imposed for address changes. This fee covers USPS charges as well as the fee to reprint and re-send the acknowledgement.

### Gift Recipient Information

*Please indicate proper form of registration. Gift recipients under the age of 18 must be registered in a custodial account under the Uniform Gift to Minors Act. Name the adult first as custodian and indicate the state: "John Doe, custodian for Jane Doe, under NY UGTMA."*

SS # \_\_\_\_\_ (Required) Date of Birth \_\_\_\_\_ (Required)

Custodial Account:

SS # (Minor) \_\_\_\_\_ (Required) Date of Birth \_\_\_\_\_ (Required)

Name  Mr.  Ms. \_\_\_\_\_  
PRINT NAME EXACTLY AS STOCK SHOULD BE REGISTERED

Address \_\_\_\_\_  
(must have street address, no P.O. Box other than APOs and FPOs)

City/State/Zip \_\_\_\_\_ Day Phone # (\_\_\_\_\_) \_\_\_\_\_

E-mail \_\_\_\_\_

### Gift Giver Information (for acknowledgments)

Subscriber # \_\_\_\_\_ Day Phone # (\_\_\_\_\_) \_\_\_\_\_  
**Fill in your Subscriber # to get appropriate service fees.**

SS # \_\_\_\_\_ (Required) Date of Birth \_\_\_\_\_ (Required)

Name  Mr.  Ms. \_\_\_\_\_

Address \_\_\_\_\_  
(must have street address, no P.O. Box other than APOs and FPOs)

City/State/Zip \_\_\_\_\_

E-mail \_\_\_\_\_  
**(An Email address is required to process this order.)**

\* **Shares Ordered:** You may order up to 100 shares. However, you may not order fewer than the minimum number of shares required by the plan. It is understood, as stated above, that the investor is familiar with the terms of the companies DRIP plan. **A full list of DRIPs is available at [www.directinvesting.com](http://www.directinvesting.com).**

\*\* **How to compute enrollment charge:** For subscribers and DRIP Club members, the fee is \$25. Otherwise, the fee is \$50.

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**TEMPER OF THE TIMES INVESTOR SERVICES, INC.,**  
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For Temper Financial Statements, go to [www.temperofthetimes.com/temperfinancials.pdf](http://www.temperofthetimes.com/temperfinancials.pdf)

# Terms of the Temper Enrollment Service

PLEASE SIGN AT THE "X" ON THE REVERSE OF THIS FORM. This form cannot be processed without a signature.

## Important Information About Procedures for Opening a New Account.

To help the government fight the funding of terrorism and money laundering activities, Federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account.

**What this means for you:** When you open an account, we will ask for your name, address, Social Security number, date of birth, and other information that will allow us to identify you. **For new customers,** we will require a copy of your driver's license or other identifying documents.

**What happens if you don't provide the information required?:** We may not be able to open an account or carry out your transactions.

1. All checks for stock orders should be made payable to **Temper of the Times**.
2. To Register:
  - Enter your full name, date of birth, street address, and Social Security Number (proof if your first name is actually an initial). (Non-U.S. citizens without taxpayer ID, please provide passport number AND country of issuance OR alien identification card number).
  - If other than single registration, indicate the proper form of registration. For example, JT TEN for joint tenancy accounts, where the surviving tenant receives the property automatically.
  - In custodial accounts under the Uniform Gift to Minors Act, name the adult first as custodian and indicate the state: "John Doe, cust. for Jane Doe under NY UGTMA." Provide Social Security Number and date of birth for both the custodian and child.
  - For living trust agreements, be sure to indicate the date: "In trust for Robert Doe under Trust Agreement dated \_\_\_\_." Also a copy of the first and last page of the plan/trust should be sent back to Temper.
  - For registrations involving corporations, partnerships, or investment clubs, be sure to include the full name of the entity. Please send proof of the entity's existence, such as a **certified** copy of the certificate of incorporation, partnership agreement, or investment club agreement.
  - We cannot accept TOD (Transfer of Death) registrations. We cannot accept orders from residents of Puerto Rico.
  - The following do not allow Tenants by Entirety registrations: AL, AZ, CA, CO, CT,

GA, ID, IL, IN, IA, KS, LA, ME, MN, MT, NE, NV, NH, NM, NY, NC, ND, OH, OR, SC, SD, TX, UT, WA, and WI.

- The following do not allow Community Property registrations: AL, AK, AR, CO, CT, DE, DC, FL, GA, HI, IL, IN, IA, KS, KY, ME, MD, MA, MI, MN, MS, MO, MT, NE, NH, NJ, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, UT, VT, VA, WV, and DC.
3. We immediately acknowledge your orders by mailing you a confirmation. Any corrections must arrive no later than one week after the closing of our month.
  4. Delays for opening accounts may occur do to late delivery at the DTC.
  5. Dividends will be paid to you directly by each company's transfer agent. If a dividend was paid after we charged your account but before your account was opened by the transfer agent, such dividends will be donated to charity annually.
  6. Shares are purchased through a broker.
    - a. The Enrollment Service is not responsible for the quality of your choice of investments, nor is it responsible for the operations of the company or its direct investment plan. The Enrollment Service acts as Riskless Principal to execute your orders.

Although the situation does not arise often, a company may file for bankruptcy and/or discontinue its plan after the shares you ordered were purchased. Under those circumstances, you will be charged the amount that was paid for the stock on your behalf and a certificate for the shares will be sent to you. However, if your order can not be executed for any reason, you will be sent a full refund.

To reduce the chance of plan closures, we may stop offering companies whose shares sell for less than \$5.
    - b. The Securities and Exchange Commission requires that all broker-dealers advise customers of the broker's practice with respect to payment for order flow. Payment for order flow includes any monetary payment, service, property, or other benefit that results in remuneration, compensation, or consideration to a broker-dealer from a broker-dealer.

Temper does not receive any payment for order flow.
    - c. Temper closes its order entry system for new orders at 3 PM EST on the last business day of the month. **Stock are purchased on or about the 10th of the following month,** and the

DRIP enrollment process should be completed four weeks thereafter.

7. Refunds of overpayments are issued with the final statement. Any refund checks that have not been cashed after three years will have a \$25 inactivity fee assessed before the funds are escheat to the state of New York. Please note that we do not bill amounts under \$3, nor do we issue checks for overpayments of less than \$3.
8. W-9 Forms and Enrollment Cards will be provided to you if you are using the Temper Enrollment Service to become enrolled in Southern Co., AFLAC, Otter Tail, ExxonMobil, and ZION Bancorp, you should sign and return to Temper the W-9 form and/or an enrollment card.

To obtain W-9 forms or enrollment cards, call 800-388-9993, or contact the Temper Enrollment Service by mail at 411 Theodore Fremd Ave., Suite 132, Rye, NY 10580.
9. Any controversy that may develop relevant to this enrollment shall be submitted to arbitration. Such arbitration shall be conducted in New York, N.Y., by FINRA in accordance with its rules in effect.

10. The firm requests a 10% cushion over the price of the stock as calculated on the enrollment order form. That cushion is a safeguard against an upward price swing on the cost of the share on the purchase date. The firm does not issue checks for overpayment of less than \$3.00. Should a customer request to receive his/her refund under \$3.00 the firm will honor the request.

## Privacy Notice

You entrust us with personal and financial information, and we take that trust very seriously.

We receive personal, nonpublic information about you on DRIP enrollment forms, on online order forms, and in other communications in writing, electronically and over the phone. That information may include your name, address, social security number, bank account information or employment information.

We do not share any nonpublic personal information about you with any third parties, except as necessary to process a transaction, promote affiliate services, or as required by law. Our outside service providers are legally bound not to disclose or reuse it in any way. We restrict access to your personal information to those of our employees who need the information in order to provide services to you. In addition, you can feel comfortable knowing that we maintain physical, electronic and procedural safeguards to protect your personal information.

For specific safeguards see:

[www.temperofthetimes.com/privacystatement2.cfm](http://www.temperofthetimes.com/privacystatement2.cfm)

**Business Continuity Plan:** Orders accepted by Temper of the Times Investor Services Inc. are backed up at two locations off site nightly. If something were to happen to Temper's principal location, we would be able to access all our customer information at a new location. Once your DRIP account is open at the transfer agent for the company you ordered, you are no longer an active customer of the Temper Enrollment Service, although we do keep a record of the orders we fulfill for you. In case of an emergency and the office telephone number is inoperative call the following number: 914-698-2725.

**Questions and Grievances:** Call: 914-925-0022 or Email: [moreno@moneypaper.com](mailto:moreno@moneypaper.com)

**Service Fee Issues:** If for some reason the subscriber service fee is not computed correctly, contact The Moneypaper Inc. Call: 800-1-800-388-9993 or Email: [moneypaper@moneypaper.com](mailto:moneypaper@moneypaper.com)