

**— TEMPER ENROLLMENT SERVICE —**

**DRIP Club Membership Subscriber's Enrollment Order Form**

I (we) have read and agree to the Terms of the Temper Enrollment Service (printed on back). I (we) hereby authorize Temper of the Times Investor Services, Inc. (Temper) to help me (us) become enrolled in the direct investment plan(s) (DRIPs) of the companies indicated below. I (we) authorize each company and its transfer agent, if applicable, to enroll me (us) in the full direct investment plan(s) of each company. I (we) authorize Temper to stipulate that all cash dividends be reinvested in the plans(s) listed below—including dividends on any shares I (we) might purchase outside the plan(s).

My (our) purpose for becoming enrolled is so I (we) can make subsequent investments directly through the company-sponsored plans. No other use of my (our) name(s) is authorized. I (we) certify, under penalty of perjury, that the Social Security number or taxpayer identification number provided on this form is valid and that I (we) am (are) 18 years of age or older.

I (we) certify under penalty of perjury, that I am authorized to transact business on behalf of the entity becoming enrolled in the DRIP plans listed below. I (we) understand the provisions of each

relevant plan having reviewed the prospectus information in the *Guide to Direct Investment Plans* and in the company prospectus. I (we) understand that portfolio growth depends on my (our) ability to make future optional cash investments once the account is open and that growth based on reinvesting dividends from the share(s) to open the account will be minimal.

(Must Sign Here) **X** \_\_\_\_\_ Date \_\_\_\_\_

<b>SEC/FINRA Required Information</b>	
Do you work for, or are you employed by, a member firm of FINRA? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Are you a director, a 10% shareholder, or a policy-making executive officer of a publicly traded company? <input type="checkbox"/> Yes <input type="checkbox"/> No (If "yes," specify companies below): _____	
Companies: _____	
Your Occupation: _____	Name of Employer: _____

Company Name	Symbol	Shares Ordered*	Recent High	Enrollment Charge	Total Cost
1. _____	_____	X _____	_____	+ \$25	\$ _____
2. _____	_____	X _____	_____	+ \$25	\$ _____
3. _____	_____	X _____	_____	+ \$25	\$ _____
4. _____	_____	X _____	_____	+ \$25	\$ _____
5. _____	_____	X _____	_____	+ \$25	\$ _____
6. _____	_____	X _____	_____	+ \$25	\$ _____
7. _____	_____	X _____	_____	+ \$25	\$ _____
8. _____	_____	X _____	_____	+ \$25	\$ _____
9. _____	_____	X _____	_____	+ \$25	\$ _____
10. _____	_____	X _____	_____	+ \$25	\$ _____
11. _____	_____	X _____	_____	+ \$25	\$ _____
12. _____	_____	X _____	_____	+ \$25	\$ _____
13. _____	_____	X _____	_____	+ \$25	\$ _____
14. _____	_____	X _____	_____	+ \$25	\$ _____

**REGISTRATION INFORMATION**

SS # \_\_\_\_\_ (Required) Date of Birth \_\_\_\_\_ (Required)

Custodial Account:  
 SS # (Minor) \_\_\_\_\_ (Required) Date of Birth \_\_\_\_\_ (Required)

Name  Mr.  Ms. \_\_\_\_\_  
PRINT NAME EXACTLY AS STOCK SHOULD BE REGISTERED

Mailing Address \_\_\_\_\_  
P.O. Box is acceptable for registration and to receive correspondence. However, if your mailing address is a P.O. Box (other than APOs and FPOs) you must enter your street address below—for U.S. Patriot Act compliance.

Street Address \_\_\_\_\_  
(Required only if your mailing address is a P.O. Box, other than APOs or FPOs)

City/State/Zip \_\_\_\_\_

Email Address \_\_\_\_\_ Day Phone # (\_\_\_\_\_) \_\_\_\_\_

Temper closes its order entry system for new orders on the last business day of the month. Shares are purchased once a month on or about the 10th of the following month.

The Temper Enrollment Service is provided by Temper of the Times Investor Services, Inc., ("Temper"), a registered broker dealer, member FINRA, SIPC. Temper does not make investment recommendations, nor does it make a market in any securities. The Moneypaper Inc. is the publisher of *The Moneypaper*, *Direct Investing*, and the *Guide to Direct Investment Plans*. The Moneypaper and Temper are affiliated companies.

Sum Total \$ \_\_\_\_\_

Refundable cushion (10% of the amount sent for stocks) \$ \_\_\_\_\_  
For material underpayment amount, the number of shares ordered will be reduced until in sync with stock price.  
 Total amount sent to order stocks \$ \_\_\_\_\_  
No credit card orders. Make check payable to Temper of the Times.

\* **Shares Ordered:** You may order up to 100 shares. However, you may not order fewer than the minimum number of shares required by the plan. It is understood, as stated above, that the investor is familiar with the terms of the companies DRIP plan. **A full list of DRIPs is available at [www.directinvesting.com](http://www.directinvesting.com). (You can use the Search for DRIPs' function to find them.)**

Subscription ID#:  Yes  No Subscription ID #: \_\_\_\_\_

**It is your responsibility to see that your order is correct in every respect.** So you can review your order, you can expect an acknowledgement within a week.  
**Consequences of mistakes in your order are as follows:** You will be responsible for any fees charged by the transfer agent to correct your registration as well as a \$25 fee to cover our costs.  
**It is your responsibility to inform us of any address change.**

**TEMPER OF THE TIMES INVESTOR SERVICES, INC.,**  
 411 Theodore Fremd Ave., 132, Rye, NY 10580 • 1-800-388-9993  
 For Temper Financial Statements, go to [www.temperofthetimes.com/temperfinancials.pdf](http://www.temperofthetimes.com/temperfinancials.pdf)

# Terms of the Temper Enrollment Service

PLEASE SIGN AT THE "X" ON THE REVERSE OF THIS FORM. This form cannot be processed without a signature.

## Important Information About Procedures for Opening a New Account.

To help the government fight the funding of terrorism and money laundering activities, Federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account.

**What this means for you:** When you open an account, we will ask for your name, address, Social Security number, date of birth, and other information that will allow us to identify you. **For new customers,** we will require a copy of your driver's license or other identifying documents.

**What happens if you don't provide the information required?:** We may not be able to open an account or carry out your transactions.

- All checks for stock orders should be made payable to **Temper of the Times**.
- To Register:
  - Enter your full name, date of birth, street address, and Social Security Number (proof if your first name is actually an initial). (Non-U.S. citizens without taxpayer ID, please provide passport number AND country of issuance OR alien identification card number).
  - If other than single registration, indicate the proper form of registration. For example, JT TEN for joint tenancy accounts, where the surviving tenant receives the property automatically.
  - In custodial accounts under the Uniform Gift to Minors Act, name the adult first as custodian and indicate the state: "John Doe, cust. for Jane Doe under NY UGMA." Provide Social Security Number and date of birth for both the custodian and child.
  - For living trust agreements and pension plans, be sure to indicate the date: "In trust for Robert Doe under Trust Agreement dated \_\_\_\_." Also a copy of the first and last page of the plan/trust should be sent back to Temper.
  - For registrations involving corporations, partnerships, or investment clubs, be sure to include the full name of the entity. Please send proof of the entity's existence, such as a **certified** copy of the certificate of incorporation, partnership agreement, or investment club agreement.
  - We cannot accept TOD (Transfer of Death) registrations. We cannot accept orders from residents of Puerto Rico.
  - The following do not allow Tenants by

Entirety registrations: AL, AZ, CA, CO, CT, GA, ID, IL, IN, IA, KS, LA, ME, MN, MT, NE, NV, NH, NM, NY, NC, ND, OH, OR, SC, SD, TX, UT, WA, and WI.

- The following do not allow Community Property registrations: AL, AK, AR, CO, CT, DE, DC, FL, GA, HI, IL, IN, IA, KS, KY, ME, MD, MA, MI, MN, MS, MO, MT, NE, NH, NJ, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, UT, VT, VA, WV, and DC.
- We immediately acknowledge your orders by mailing you a confirmation. Any corrections must arrive no later than one week after the closing of our month.
  - Delays for opening accounts may occur do to late delivery at the DTC.
  - Dividends will be paid to you directly by each company's transfer agent. If a dividend was paid after we charged your account but before your account was opened by the transfer agent, such dividends will be donated to charity annually.
  - Shares are purchased through a broker.
    - The Enrollment Service is not responsible for the quality of your choice of investments, nor is it responsible for the operations of the company or its direct investment plan. The Enrollment Service acts as Riskless Principal to execute your orders.

Although the situation does not arise often, a company may file for bankruptcy and/or discontinue its plan after the shares you ordered were purchased. Under those circumstances, you will be charged the amount that was paid for the stock on your behalf and a certificate for the shares will be sent to you. However, if your order can not be executed for any reason, you will be sent a full refund.

To reduce the chance of plan closures, we may stop offering companies whose shares sell for less than \$5.
    - The Securities and Exchange Commission requires that all broker-dealers advise customers of the broker's practice with respect to payment for order flow. Payment for order flow includes any monetary payment, service, property, or other benefit that results in remuneration, compensation, or consideration to a broker-dealer from a broker-dealer.

Temper does not receive any payment for order flow.
    - Temper closes its order entry system for new orders at 3 PM EST on the last business day of the month. **Stock are purchased on or about**

**the 10th of the following month**, and the DRIP enrollment process should be completed four weeks thereafter.

- Refunds of overpayments are issued with the final statement. Any refund checks that have not been cashed after six years are donated to charity. Please note that we do not bill amounts under \$3, nor do we issue checks for overpayments of less than \$3, which are donated to charity. The specified charity is Temper of the Times Foundation Inc., Advertising for the Environment. Specific grants from that organization can be viewed online at [www.temperfund.org](http://www.temperfund.org).
- W-9 Forms and Enrollment Cards will be provided to you if you are using the Temper Enrollment Service to become enrolled in Southern Co., AFLAC, Otter Tail, ExxonMobil, and ZION Bancorp, you should sign and return to Temper the W-9 form and/or an enrollment card.

To obtain W-9 forms or enrollment cards, call 800-388-9993, or contact the Temper Enrollment Service by mail at 555 Theodore Fremd Ave., Suite B-103, Rye, NY 10580.
- Any controversy that may develop relevant to this enrollment shall be submitted to arbitration. Such arbitration shall be conducted in New York, N.Y., by FINRA in accordance with its rules in effect.

## Privacy Notice

You entrust us with personal and financial information, and we take that trust very seriously. We receive personal, nonpublic information about you on DRIP enrollment forms, on online order forms, and in other communications in writing, electronically and over the phone. That information may include your name, address, social security number, bank account information or employment information.

We do not share any nonpublic personal information about you with any third parties, except as necessary to process a transaction, promote affiliate services, or as required by law. Our outside service providers are legally bound not to disclose or reuse it in any way. We restrict access to your personal information to those of our employees who need the information in order to provide services to you. In addition, you can feel comfortable knowing that we maintain physical, electronic and procedural safeguards to protect your personal information.

For specific safeguards see:  
[www.temperofthetimes.com/privacystatement2.cfm](http://www.temperofthetimes.com/privacystatement2.cfm)

**Business Continuity Plan:** Orders accepted by Temper of the Times Investor Services Inc. are backed up at two locations off site nightly. If something were to happen to Temper's principal location, we would be able to access all our customer information at a new location. Once your DRIP account is open at the transfer agent for the company you ordered, you are no longer an active customer of the Temper Enrollment Service, although we do keep a record of the orders we fulfill for you. In case of an emergency and the office telephone number is inoperative call the following number: 914-698-2725.

**Questions and Grievances:** Call: 914-925-0022 or Email: [moreno@moneypaper.com](mailto:moreno@moneypaper.com)

**Service Fee Issues:** If for some reason the subscriber service fee is not computed correctly, contact The Moneypaper Inc. Call: 800-1-800-388-9993 or Email: [moneypaper@moneypaper.com](mailto:moneypaper@moneypaper.com)